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ON A SUBSET OF BAZILEVIČ FUNCTIONS

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ABSTRACT. Let S denote the class of analytic and univalent functions in $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$ of the form $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$. For $\alpha \ge 0$, the subclass $B_1(\alpha)$ of S of Bazilevič functions has been extensively studied. In this paper we determine various properties of a subclass of $B_1(\alpha)$, for $\alpha \ge 0$, which extends early results of a class of starlike functions studied by Ram Singh.

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1. INTRODUCTION AND DEFINITIONS

Denote by \mathcal{A} , the set of functions f, which are analytic in the unit disk $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$, and normalized so that

(1.1)
$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$

and by S, the subset of A consisting of functions f which are univalent in \mathbb{D} .

In recent years, a great deal of attention (see e.g. [2], [4], [9], [10]), has been given to the set $B_1(\alpha)$ of Bazilevič functions in S, defined for $\alpha \ge 0$, as follows.

Definition 1.1. Let $f \in A$ and be given by (1.1). Then for $\alpha \ge 0$, $f \in B_1(\alpha)$ if, and only if, for $z \in \mathbb{D}$

(1.2)
$$\operatorname{Re} f'(z) \left(\frac{f(z)}{z}\right)^{\alpha - 1} > 0.$$

Clearly $B_1(0)$ consists of the well-known class S^* of starlike functions, and $B_1(1)$ the class \mathcal{R} whose elements satisfy $\operatorname{Re} f'(z) > 0$, for $z \in \mathbb{D}$.

Finding sharp bounds for $|a_n|$ for all $n \ge 2$ when $f \in B_1(\alpha)$ remains an open problem, with best possible bounds only known when $2 \le n \le 6$, [3], [8], and even then, only partial answers have been given when n = 5 and 6.

When $\alpha = -1$ in (1.2), functions defined by the following are also members of S, [5], and provide an interesting subset of S which is known as the class $U(\lambda)$. The class $U(\lambda)$ defined below, has also been extensively studied in recent years (see e.g. [5], [6], and the references in these papers).

Definition 1.2. Let $f \in \mathcal{A}$ and be given by (1.1). Then $f \in \mathcal{U}(\lambda)$ if, and only if, for $z \in \mathbb{D}$

$$\left|f'(z)\left(\frac{z}{f(z)}\right)^2 - 1\right| < \lambda$$

It is clear from the definition that since $f'(z)/[z/f(z)]^2 \neq 0$, functions in $\mathcal{U}(\lambda)$ are non-vanishing in $\mathbb{D}\setminus\{0\}$, and locally univalent.

Finding sharp bounds for the coefficients of functions in $\mathcal{U}(\lambda)$ appears to be a difficult problem, with best possible bounds only known when $2 \le n \le 4$, [6]. On the other hand when $\lambda = 1$, sharp bound have been found for all $n \ge 2$ (see e.g. [6]).

In this paper we study a subset of $B_1(\alpha)$, whose definition mimics that of $\mathcal{U}(\lambda)$ in the case $\lambda = 1$, and show that it is possible to obtain sharp bounds for the first five coefficients of f(z), together with the first four coefficients of the inverse function. We also give other properties of this subclass, which we define as follows.

Definition 1.3. Let $f \in \mathcal{A}$ and be given by (1.1). Then for $\alpha \ge 0$, $f \in B_1(\alpha, 1)$ if, and only if, for $z \in \mathbb{D}$,

(1.3)
$$\left| f'(z) \left(\frac{f(z)}{z} \right)^{\alpha - 1} - 1 \right| < 1.$$

We note that when $\alpha = 0$, (1.3) reduces to

$$\left|\frac{zf'(z)}{f(z)} - 1\right| < 1,$$

considered in [8]. Since the analysis for $\alpha = 0$ and $\alpha > 0$ can differ, we will specify this when appropriate.

2. Representation Expression and Distortion Theorems

We begin by giving a representation formula for $f \in B_1(\alpha, 1)$ when $\alpha > 0$, analogous to that given in [8] in the case $\alpha = 0$.

Theorem 2.1. For $\alpha > 0$, $f \in B_1(\alpha, 1)$ if, and only if,

(2.1)
$$f(z) = \left(\alpha \int_0^z t^{\alpha - 1} (1 + \omega(t)) dt\right)^{1/\alpha},$$

where ω is analytic in \mathbb{D} satisfying $|\omega(z)| \leq 1$, and $\omega(0) = 0$.

Proof. From (1.3), we can write

(2.2)
$$f'(z) \Big(\frac{f(z)}{z}\Big)^{\alpha-1} = 1 + \omega(z).$$

Let $\phi(z) = \left(\frac{f(z)}{z}\right)^{\alpha}$. Then differentiation gives

$$\phi'(z) + \frac{\alpha}{z}\phi(z) = \frac{\alpha}{z}(1+\omega(z)).$$

Multiplying by z^{α} and integrating gives (2.1).

Theorem 2.2. For $\alpha > 0$, let $f \in B_1(\alpha, 1)$, $z = re^{i\theta} \in \mathbb{D}$, and

$$\beta_1(\alpha, r) = \left(\frac{1+\alpha+\alpha r}{1+\alpha}\right), \ \beta_2(\alpha, r) = \left(\frac{1+\alpha-\alpha r}{1+\alpha}\right).$$

Then

(2.3)
$$r\beta_2(\alpha, r)^{1/\alpha} \le |f(z)| \le r\beta_1(\alpha, r)^{1/\alpha}$$

(2.4)
$$(1-r)\beta_2(\alpha,r)^{(1-\alpha)/\alpha} \le |f'(z)| \le (1+r)\beta_1(\alpha,r)^{(1-\alpha)/\alpha}$$

(2.5)
$$\frac{1-r}{\beta_1(\alpha,r)} \le \left|\frac{zf'(z)}{f(z)}\right| \le \frac{(1+r)}{\beta_2(\alpha,r)}.$$

Equality holds in all cases when $f(z) = z \left(\frac{1 + \alpha + \alpha z}{1 + \alpha}\right)^{1/\alpha}$ for $\theta = 0$, or $\pi/2$.

Proof. It follows from the Schwarz Lemma that $|\omega(z)| \le |z|$. Using this in (2.1) and integrating easy establishes the right-hand inequality in (2.3). The left-hand inequality follows from the minimum principle for harmonic functions. Differentiating (2.1) and using (2.2) gives (2.4), from which (2.5) follows on noting that $|1 + \omega(z)| \ge 1 - |\omega(z)| \ge 1 - |z|$.

From (2.3), we at once deduce the following.

Corollary 2.1. Let $f \in B_1(\alpha, 1)$ for $\alpha > 0$. Then $f(\mathbb{D})$ contains the disk $\{w : |w| < 1/(1 + \alpha)^{1/\alpha}\}$.

We note that letting $\alpha \to 0$ in the results of Theorem 2.2 and Corollary 2.1, gives those obtained in [9].

We will use the following lemmas, the first two and the fourth of which can be found in [1], and the third in [7].

3. LEMMAS

Denote by \mathcal{P} , the class of functions p of positive real part, i.e., functions satisfying $\operatorname{Re} p(z) > 0$ for $z \in \mathbb{D}$, with Taylor expansion

(3.1)
$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n.$$

Lemma 3.1. *If* $p \in \mathcal{P}$ *, then*

$$\left| p_2 - \frac{\mu}{2} p_1^2 \right| \le \max\{2, \ 2|\mu - 1|\} = \begin{cases} 2, & 0 \le \mu \le 2, \\ 2|\mu - 1|, & \textit{elsewhere.} \end{cases}$$

Lemma 3.2. Let $p \in \mathcal{P}$. If $0 \le B \le 1$ and $B(2B-1) \le D \le B$, then

$$\left| p_3 - 2Bp_1p_2 + Dp_1^3 \right| \le 2.$$

Lemma 3.3. If $p \in \mathcal{P}$, and $\alpha_1, \alpha_2, \beta$ and γ satisfy $0 < \alpha_1 < 1, 0 < \alpha_2 < 1$, and

$$8\alpha_1(1-\alpha_1)((\alpha_2\beta-2\gamma)^2+(\alpha_2(\alpha_1+\alpha_2)-\beta)^2)+\alpha_2(1-\alpha_2)(\beta-2\alpha_1\alpha_2)^2 \le 4\alpha_2^2(1-\alpha_2)^2\alpha_1(1-\alpha_1),$$

then

$$|\gamma p_1^4 + \alpha_1 p_2^2 + 2\alpha_2 p_1 p_3 - (3/2)\beta p_1^2 p_2 - p_4| \le 2$$

Lemma 3.4. *If* $p \in \mathcal{P}$ *, then*

$$|p_3 - (\mu + 1)p_1p_2 + \mu p_1^3| \le \max\{2, \ 2|2\mu - 1|\} = \begin{cases} 2, & 0 \le \mu \le 1, \\ 2|2\mu - 1|, & elsewhere. \end{cases}$$

4. COEFFICIENT INEQUALITIES

Theorem 4.1. Let $f \in B_1(\alpha, 1)$ for $\alpha \ge 0$, and be given by (1.1). Then for $2 \le n \le 5$,

$$|a_n| \le \frac{1}{\alpha + n - 1}.$$

The inequalities are sharp.

Proof. Recall from (1.3), that we can write

(4.1)
$$f'(z)\left(\frac{f(z)}{z}\right)^{\alpha-1} = 1 + \omega(z)$$

where $\omega(z)$ is analytic in \mathbb{D} , $|\omega(z)| \leq 1$, and $\omega(0) = 0$.

Since $p \in \mathcal{P}$, we can therefore write

(4.2)
$$p(z) = \frac{1 + \omega(z)}{1 - \omega(z)}, \quad \text{or} \quad \omega(z) = \frac{p(z) - 1}{p(z) + 1}.$$

From (2.2), (3.1), (4.1) and (4.2), equating coefficients we obtain

$$a_{2} = \frac{p_{1}}{2(1+\alpha)}$$

$$a_{3} = \frac{1}{2(2+\alpha)} \left(p_{2} - \frac{a(5+3\alpha)}{4(1+\alpha)^{2}(2+\alpha)} p_{1}^{2} \right)$$

$$a_{4} = \frac{1}{2(3+\alpha)} \left(p_{3} - \frac{1+8\alpha+3\alpha^{2}}{2(1+\alpha)(2+\alpha)} p_{1}p_{2} + \frac{\alpha(5+64\alpha+61\alpha^{2}+14\alpha^{3})}{24(1+\alpha)^{3}(2+\alpha)} p_{1}^{3} \right)$$

$$a_{5} = \frac{1}{2(4+\alpha)} \left(\frac{\alpha(8+544\alpha+3557\alpha^{2}+5389\alpha^{3}+3329\alpha^{4}+907\alpha^{5}+90\alpha^{6})}{192(1+\alpha)^{4}(2+\alpha)^{2}(3+\alpha)} p_{1}p_{3} \right)$$

$$+ \frac{4+11\alpha+3\alpha^{2}}{4(2+\alpha)^{2}} p_{2}^{2} + \frac{2+11\alpha+3\alpha^{2}}{2(1+\alpha)(3+\alpha)} p_{1}p_{3} - \frac{8+76\alpha+325\alpha^{2}+324\alpha^{3}+117\alpha^{4}+14\alpha^{5}}{8(1+\alpha)^{2}(2+\alpha)^{2}(3+\alpha)} p_{1}^{2}p_{2} - p_{4} \right).$$

From (4.3) the inequality for a_2 is obvious.

For a_3 we apply Lemma 3.1 with $\mu = \frac{\alpha(5+3\alpha)}{2(1+\alpha)^2}$, which gives the inequality for $|a_3|$, since $0 \le \mu \le 2$ in this case.

For a_4 we use Lemma 3.2 with

$$B = \frac{1 + 8\alpha + 3\alpha^2}{4(1 + \alpha)(2 + \alpha)},$$

and

$$D = \frac{\alpha(5 + 64\alpha + 61\alpha^2 + 14\alpha^3)}{24(1+\alpha)^3(2+\alpha)}.$$

It is easily verified that both $0 \le B \le 1$, and $B(2B-1) \le D \le B$, when $\alpha \ge 0$, and so applying Lemma 3.2 gives the required inequality for $|a_4|$.

For a_5 , we apply Lemma 3.3 with α_1 , α_2 , β and γ the respective coefficients of a_5 in (4.3), so that we need to show that

$$\begin{aligned} &(1-\alpha)^2(4+a)^2(12544+427648\alpha+5441392\alpha^2+33366608\alpha^3+117462812\alpha^4\\ &+260385736\alpha^5+382475767\alpha^6+388520160\alpha^7+282592930\alpha^8+150937228\alpha^9\\ &(\textbf{4.4)}\ 60100454\alpha^{10}+17921756\alpha^{11}+3972584\alpha^{12}+639452\alpha^{13}+71147\alpha^{14}+4932\alpha^{15}\\ &+162\alpha^{16})\\ &\leq 288(12+5\alpha+\alpha^2)(2+11\alpha+3\alpha^2)^2(4+11\alpha+3\alpha^2)(1+\alpha)^6(2+\alpha)^4(3+\alpha)^2.\end{aligned}$$

To see that this inequality is true, write the left-hand side of the above inequality as $(1 - \alpha)^2 (4 + \alpha)^2 \phi_1(\alpha)$, and the right-hand side as $\phi_2(\alpha)$. Then clearly $(1 - \alpha)^2 (4 + \alpha)^2 \phi_1(\alpha) \le (4 + \alpha)^2 \phi_1(\alpha)$.

Thus it enough to show that $(4 + \alpha)^2 \phi_1(\alpha) \le \phi_2(\alpha)$ when $\alpha \ge 0$, which is easy to verify by expanding both sides and subtracting.

We note next that using Lemma 3.1, it is a simple exercise to establish the following Fekete-Szegő theorem for functions in $B_1(\alpha, 1)$. We omit the proof.

Theorem 4.2. Let $f \in B_1(\alpha, 1)$ for $\alpha \ge 0$. Then

$$|a_{3} - \mu a_{2}^{2}| \leq \begin{cases} \frac{1}{2+\alpha}, & -\frac{\alpha(5+3\alpha)}{2(2+\alpha)} \leq \mu \leq \frac{4+\alpha(3+\alpha)}{2(2+\alpha)}, \\ \frac{\alpha-1+2\mu}{2(1+\alpha)^{2}}, & otherwise. \end{cases}$$

The inequalities are sharp.

5. INVERSE COEFFICIENTS

We now consider the initial coefficients of the inverse function f^{-1} .

For any univalent function f, there exists an inverse function f^{-1} defined on some disc $|\omega| < r_0(f)$, with Taylor expansion

(5.1)
$$f^{-1}(\omega) = \omega + A_2 \omega^2 + A_3 \omega^3 + A_4 \omega^4 + \dots$$

Since $f(f^{-1}(\omega)) = \omega$, comparing coefficients from (1.1) and (5.1) gives

$$A_{2} = -a_{2}$$

$$A_{3} = -2a_{2}^{2} + a_{3}$$

$$A_{4} = -5a_{2}^{3} + 5a_{2}a_{3} - a_{4},$$

which, on substituting from (4.3), gives

(5.2)

$$A_{2} = -\frac{p_{1}}{1+\alpha}$$

$$A_{3} = -\frac{1}{2(2+\alpha)} \left(p_{2} - \frac{8+9\alpha+3\alpha^{2}}{4(1+\alpha)^{2}} p_{1}^{2} \right)$$

$$A_{4} = -\frac{1}{2(3+\alpha)} \left(p_{3} - \frac{16+13\alpha+3\alpha^{2}}{2(1+\alpha)(2+\alpha)} p_{1} p_{2} + \frac{90+190\alpha+152\alpha^{2}+53\alpha^{3}+7\alpha^{4}}{12(1+\alpha)^{3}(2+\alpha)} p_{1}^{3} \right).$$

We are now able to find sharp estimates for the above coefficients.

Theorem 5.1. Let $f \in B_1(\alpha, 1)$ for $\alpha \ge 0$, with inverse coefficients given by (5.2). Then

$$|A_2| \le \frac{1}{1+\alpha}, \qquad |A_3| \le \begin{cases} \frac{1}{2+\alpha}, & \alpha \ge \frac{1}{2}(1+\sqrt{17}), \\\\ \frac{3+\alpha}{2(1+\alpha)^2}, & 0 \le \alpha \le \frac{1}{2}(1+\sqrt{17}) \end{cases}$$
$$|A_4| \le \begin{cases} \frac{1}{3+\alpha}, & \alpha \ge \alpha_0, \\\\ \frac{(2+\alpha)(4+\alpha)}{3(1+\alpha)^3}, & \alpha \le \alpha_0, \end{cases}$$

where α_0 is the positive root of the equation $21 + 17\alpha - 2\alpha^3 = 0$. All the inequalities are sharp.

Proof. The inequality for $|A_2|$ is obvious, and sharp when $p_1 = 2$.

For A_3 we apply Lemma 3.1 with $\mu = \frac{8+9\alpha+3\alpha^2}{2(1+\alpha)^2}$, so that $0 \le \mu \le 2$ when $\alpha \ge \frac{1}{2}(1+\sqrt{17})$. This gives the first inequality for $|A_3|$. The second inequality follows from Lemma 3.1 on noting that if μ is outside the interval [0,2], then $0 \le \alpha \le \frac{1}{2}(1+\sqrt{17})$.

The first inequality for $|A_3|$ is sharp on choosing $p_1 = 0$ and $p_2 = 2$. The second inequality is sharp when $p_1 = p_2 = 2$.

For A_4 , we first use Lemma 3.4 with $\mu = \frac{(3+\alpha)(4+\alpha)}{2(1+\alpha)(2+\alpha)}$, so that

$$A_4 = -\frac{1}{2(3+\alpha)} \Big(p_3 - (\mu+1)p_1p_2 + \mu p_1^3 + \frac{(18+4\alpha-10\alpha^2-\alpha^3+\alpha^4)}{12(1+\alpha)^3(2+\alpha)} p_1^3 \Big).$$

Noting that $\mu > 1$, when $0 \le \alpha < \frac{1}{2}(1 + \sqrt{33})$, we use the inequality $|p_1| \le 2$, and apply Lemma 3.4 to obtain the bound for $|A_4|$ on the interval $0 \le \alpha < \frac{1}{2}(1 + \sqrt{33})$.

We now use Lemma 3.2.

From (4.2) let

$$B = \frac{16 + 13\alpha + 3\alpha^2}{4(1+\alpha)(2+\alpha)}, \quad \text{and} \quad D = \frac{90 + 190\alpha + 152\alpha^2 + 53\alpha^3 + 7\alpha^4}{12(1+\alpha)^3(2+\alpha)}$$

Then $0 \le B \le 1$ when $\alpha \ge \frac{1}{2}(1 + \sqrt{33})$, and $B(2B - 1) \le D \le B$ when $\alpha \ge \alpha_0$, where α_0 is the unique real root of the equation $21 + 17\alpha + 2\alpha^3 = 0$. Since both these inequalities are satisfied when $\alpha \ge \alpha_0$, the first inequality for $|A_4|$ follows on this interval by applying Lemma 3.2.

Thus we are left with the interval $\frac{1}{2}(1+\sqrt{33}) \le \alpha \le \alpha_0$.

Write

$$A_4 = -\frac{1}{2(3+a)} \Big(p_3 - 2Bp_1p_2 + Bp_1^3 + (D-B)p_1^3 \Big),$$

and note that $D - B = \frac{(21 + 17\alpha - 2\alpha^3)}{12(1 + \alpha)^3} \ge 0$ when $0 \le \alpha \le \alpha_0$. Noting that we still require that $\alpha \ge \frac{1}{2}(1 + \sqrt{33})$ (since $0 \le B \le 1$), we now apply Lemma 3.2 in the case D = B, to obtain the second inequality for $|A_4|$ on the interval $\frac{1}{2}(1 + \sqrt{33}) \le \alpha \le \alpha_0$.

The first inequality for $|A_4|$ is sharp on choosing $p_1 = 0$, and $p_3 = 2$. The second inequality is sharp when $p_1 = p_2 = p_3 = 2$.

6. THE FIFTH INVERSE COEFFICIENT

We have seen in Theorem 4.1 that it is possible to find complete and sharp bounds of the fifth coefficient of f(z). Finding sharp bounds for the fifth inverse coefficient A_5 seems more difficult.

It is easy to see that $A_5 = 14a_2^4 - 21a_2^2a_3 + 3a_3^2 + 6a_2a_4 - a_5$, and then expressing A_5 in terms of the coefficients p_1, p_2, p_3 and p_4 , obtain an expression similar to that found for a_5 in (4.3). Applying Lemma 3.3 to the resulting expression gives the sharp bound $|A_5| \le 1/(4+\alpha)$, provided $\alpha > 6.029...$ This leaves open the problem of finding sharp bounds for $|A_5|$ on the interval $0 \le \alpha \le 6.029...$

We next give a subordination property for functions in $B_1(\alpha, 1)$ for $\alpha \ge 0$, similar to that proved by Marjono [3], noting that the result is valid for all functions in \mathcal{A} .

7. SUBORDINATION

Theorem 7.1. Let $f \in B_1(\alpha, 1)$ for $\alpha \ge 0$, and $\gamma > 0$. Then

$$f'(z) \left(\frac{f(z)}{z}\right)^{\alpha-1} \prec (1+z)^{\beta(\gamma)}$$

implies

$$\left(\frac{f(z)}{z}\right)^{\alpha} \prec (1+z)^{\gamma},$$

where

$$\beta(\gamma) = \gamma + \frac{4}{\pi} \arctan\left(\frac{\gamma}{\gamma + 2\alpha}\right)$$

Proof. Write

$$P(z) = \left(\frac{f(z)}{z}\right)^{\alpha},$$

so that P is analytic in \mathbb{D} , P(0) = 1 and

$$P(z) + \frac{zP'(z)}{\alpha} = \left(\frac{f(z)}{z}\right)^{\alpha-1} f'(z).$$

We therefore need to show that

$$P(z) + \frac{zP'(z)}{\alpha} \prec (1+z)^{\beta(\gamma)}$$

implies

$$P(z) \prec (1+z)^{\gamma}.$$

For $z \in \mathbb{D}$, let $h(z) = (1+z)^{\beta(\gamma)}$ and $q(z) = (1+z)^{\gamma}$, so that $|\arg h(z)| < \frac{\pi\beta(\gamma)}{4}$ and $|\arg q(z)| < \frac{\pi\gamma}{4}$.

Suppose that $p(z) \not\prec q(z)$. Then from the Clunie-Jack Lemma, there exits $z_0 \in \mathbb{D}$ and $\zeta_0 \in \partial \mathbb{D}$, such that $P(z_0) = q(\zeta_0)$, $(p(|z| < |z_0|) \subset q(\mathbb{D})$ and $z_0 p'(z_0) = k\zeta_0 q'(\zeta_0)$ for $k \ge 1$.

Thus we can write

(7.1)
$$P(z_0) + \frac{z_0 P'(z_0)}{\alpha} = q(\zeta_0) + \frac{\zeta_0 q'(\zeta_0)}{\alpha} \\ = (1 + \zeta_0)^{\gamma} \Big[1 + \frac{k\gamma\zeta_0}{\alpha(1 + \zeta_0)} \Big]$$

Now write $\zeta_0 = e^{i\theta}$, so that (7.1) becomes

$$P(z_0) + \frac{z_0 P'(z_0)}{\alpha} = (1 + e^{i\theta})^{\gamma} \Big[\frac{1}{2} + i \frac{k\gamma}{2\alpha} \frac{\sin\theta}{1 + \cos\theta} \Big].$$

Writing $\sin \theta = t$, and taking arguments, we obtain

$$\arg\left(P(z_0) + \frac{z_0 P'(z_0)}{\alpha}\right) = \gamma \arctan\left[\frac{t}{1 + \sqrt{1 - t^2}}\right] + \arctan\left[\frac{k\gamma t}{(2\alpha + k\gamma)\sqrt{1 - t^2}}\right].$$

Noting that the above expression is minimum when t = -1, taking the modulus and using the fact that $k \ge 1$, we deduce that

$$\arg\left(P(z_0) + \frac{z_0 P'(z_0)}{\alpha}\right) \ge \frac{\gamma \pi}{4} + \arctan\left[\frac{\gamma}{2\alpha + \gamma}\right] = \frac{\beta(\gamma)\pi}{4},$$

which is a contradiction.

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